

ETA-EINSTEIN CONDITION ON TWISTOR SPACES OF ODD-DIMENSIONAL RIEMANNIAN MANIFOLDS

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ABSTRACT. In this note, we find the conditions on an odd-dimensional Riemannian manifolds under which its twistor space is eta-Einstein.

2000 *Mathematics Subject Classification.* 53C28, 53C25

Key words: twistor spaces, eta-Einstein almost contact metric structures

1. INTRODUCTION

The construction of the twistor space of an odd-dimensional Riemannian manifold (as well as the one in the even-dimensional case) can be traced back to R. Penrose [9, 10]. These spaces have been studied by many people, mainly from the point of view of the CR -geometry (see, for example, the literature quoted in [5, 6, 7]).

It is convenient to modify slightly the construction in [8, 11] and to define the twistor space of an odd-dimensional Riemannian manifold (M, g) as the bundle \mathcal{C} over M whose fibre at a point $p \in M$ consists of all pairs (φ, ξ) of a skew-symmetric endomorphism φ and a unit vector ξ of $T_p M$ such that (φ, ξ, g_p) satisfies the (algebraic) identities in the definition of an almost contact metric structure ([5, 6]). The smooth manifold \mathcal{C} admits two natural partially complex structures (f -structures) Φ_1 and Φ_2 , a 1-parameter family of Riemannian metrics h_t , $t > 0$, compatible with Φ_1 and Φ_2 , and a globally defined h_t -unit vector field χ such that (Φ_α, χ, h_t) , $\alpha = 1, 2$, is an almost contact metric structure on \mathcal{C} (we refer to [3] for general facts about such structures). According to [7] the metrics h_t on \mathcal{C} are never Einstein. In this note we consider on \mathcal{C} a generalization of the Einstein condition adapted for almost contact metric manifolds, namely the so-called eta-Einstein condition. Let us note that the almost contact metric structures (Φ_α, χ, h_t) , $\alpha = 1, 2$, are never Sasakian (even they are never contact [5, 6]). The eta-Einstein condition for Sasakian manifolds has been recently discussed in [4].

Recall that an almost contact metric structure with contact form η and associated metric h on an odd-dimensional manifold N is said to be eta-Einstein if there exist smooth functions a and b on N such that

$$(1) \quad \text{Ricci}_h(X, Y) = ah(X, Y) + b\eta(X)\eta(Y), \quad X, Y \in TN.$$

It is clear that the functions a and b are uniquely determined - denoting by s and ξ the scalar curvature of h and the h -dual vector field of η , we have $s = a(\dim N) + b$, $\text{Ricci}(\xi, \xi) = a + b$. Note that, in contrast to the Einstein case, the functions a and b are not constant in the general case.

The main purpose of this paper is to prove the following

Theorem 1. *Let (M, g) be a Riemannian manifold of odd dimension $n \geq 3$. Then its twistor space \mathcal{C} endowed with the metric h_t and the contact form $\eta_t = h_t(\cdot, \chi)$ is eta-Einstein if and only if $n = 3$, the manifold (M, g) is of positive constant curvature ν and $t\nu = \frac{1}{2}$; in this case we have $a = \frac{3\nu}{2}$ and $b = -\frac{\nu}{2}$ where the functions a and b on \mathcal{C} are defined by means of (1) .*

The proof is based on a coordinate-free formula for the Ricci tensor of the metric h_t in terms of the curvature of the base manifold (M, g) obtained in [7].

Suppose that the base manifold M is oriented. Then its twistor space \mathcal{C} is the disjoint union of the open subsets \mathcal{C}_\pm consisting of the points (φ, ξ) that yield \pm the orientation of $T_p M$ via the decomposition $T_p M = \text{Im} \varphi \oplus \mathbb{R} \xi$ in which the vector space $\text{Im} \varphi$ is oriented by means of the complex structure φ on it. These open sets are diffeomorphic by the map $(\varphi, \xi) \rightarrow (\varphi, -\xi)$ which sends (Φ_α, χ, h_t) to $(\Phi_\alpha, -\chi, h_t)$. If, in addition, M is of dimension 3, the map $(\varphi, \xi) \rightarrow \xi$ is a diffeomorphism of \mathcal{C}_\pm onto the unit tangent bundle $T_1 M$ of M which sends the characteristic vector field χ to the standard characteristic vector field on $T_1 M$ (but neither of the structures Φ_α is going to the standard partially complex structure of $T_1 M$); this map sends also the metric h_t to the dilation of the Sasaki metric by $2t$ in the vertical directions. Thus Theorem 1 implies that the Sasaki metric on the unit tangent bundle of the 3-sphere endowed with the $+1$ -curvature metric is eta-Einstein. In fact, by a result of S.Tanno [12], the Sasaki metric on the unit tangent bundle of any unit sphere S^m is eta-Einstein and a suitable modification of this metric gives a homogeneous Einstein metric on $T_1 S^m$.

2. PRELIMINARIES

Let V be a real n -dimensional vector space with a metric g . A partially complex structure on V (or f-structure) of rank $2k$ is an endomorphism F of V of rank $2k$, $0 < 2k \leq n$, satisfying $F^3 + F = 0$. We shall say that such a structure F is compatible with the metric g if the endomorphism F is skew-symmetric with respect to g .

Given a compatible partially complex structure F , we have the orthogonal decomposition $V = \text{Im} F \oplus \text{Ker} F$ and F is a complex structure on the vector space $\text{Im} F$ compatible with the restriction of g .

Denote by $F_k(V, g)$ the set of all compatible partially complex structures of rank $2k$ on (V, g) . The group $O(V)$ of orthogonal transformations of

V acts transitively on $F_k(V, g)$ by conjugation and $F_k(V, g)$ can be identified with the homogeneous space $O(n)/(U(k) \times O(n - 2k))$; in particular, $\dim F_k(V, g) = 2nk - 3k^2 - k$. By the results of [1], the homogeneous manifold $F_k(V, g)$ admits a unique (up to homotety) invariant Kähler-Einstein structure (h, \mathcal{J}) . It can be described in the following way (see, for example, [7, 5, 6]): Consider $F_k(V, g)$ as a (compact) submanifold of the vector space $so(V)$ of skew-symmetric endomorphisms of (V, g) . Then the tangent space of $F_k(V, g)$ at a point F consists of all endomorphisms $Q \in so(V)$ such that $QF^2 + FQF + F^2Q + Q = 0$. Let $G(S, T) = -\frac{1}{2}\text{Trace } ST$ be the standard metric on the space $so(V)$. Then the metric h and the complex structure \mathcal{J} of $T_F F_k(V, g)$ are given by:

$$h(P, Q) = 2G(P, Q) - G(FPF, Q), \quad \mathcal{J}Q = FQ - QF + FQF^2$$

(the complex structure \mathcal{J} coincides with the one defined in [11]). It is not hard to compute (see [7]) that the scalar curvature of h is equal to $\frac{1}{2}(n - k - 1)(2nk - 3k^2 - k)$.

Now suppose that V is of odd dimension $n = 2k + 1$. A (linear) almost contact metric structure on the Euclidean space (V, g) is a pair (φ, ξ) of an endomorphism φ and a unit vector ξ of V such that $\varphi^2 x = -x + g(x, \xi)\xi$ and $g(\varphi x, \varphi y) = g(x, y) - g(x, \xi)g(y, \xi)$, $x, y \in V$.

Denote the set of these structures by $C(V, g)$. It is easy to see (cf. e.g. [3]) that if $(\varphi, \xi) \in C(V, g)$, then φ is a compatible partially complex structure of rank $2k$ and $\varphi(\xi) = 0$. Conversely, if φ is a compatible partially complex structure of rank $2k$ and $\varphi(\xi) = 0$ for a unit vector ξ , then $(\varphi, \xi) \in C(V, g)$.

The set $C(V, g)$ is a compact submanifold of $so(V) \times V$; its tangent space at a point $\sigma = (\varphi, \xi)$ consists of all pairs $(Q, \varphi Q(\xi))$ with $Q \in T_\varphi F_k(V, g)$. The group $O(V)$ of orthogonal transformations of V acts transitively on $C(V, g)$ in an obvious way and $C(V, g)$ has the homogeneous representation $C(V, g) = O(2k+1)/(U(k) \times \{1\})$. Thus we have an obvious two-fold covering map

$$C(V, g) = O(2k+1)/(U(k) \times \{1\}) \rightarrow F_k(V, g) = O(2k+1)/(U(k) \times \{1, -1\}).$$

In fact this is the projection map $(\varphi, \xi) \rightarrow \varphi$. We lift the Kähler-Einstein structure of $F_k(V, g)$ to the manifold $C(V, g)$ by means of this map and denote the lifted structure again by (h, \mathcal{J}) .

3. THE TWISTOR SPACE (\mathcal{C}, h_t) AND ITS RICCI TENSOR

First we recall the definition of the twistor space of partially complex structures [11].

Let (M, g) be a Riemannian manifold of dimension $n \geq 3$. Denote by $\pi : \mathcal{F}_k \rightarrow M$ the bundle over M whose fibre at a point $p \in M$ consists of all compatible partially complex structures of rank $2k$ on the Euclidean space $(T_p M, g_p)$. This is the associated bundle

$$O(M) \times_{O(n)} F_k(\mathbb{R}^n)$$

where $O(M)$ denotes the principal bundle of orthonormal frames on M .

As it is usual in the twistor theory, the manifold \mathcal{F}_k admits two partially complex structures Φ_1 and Φ_2 defined as follows [11]: (recall that a partially complex structure on a manifold is an endomorphism Φ of its tangent bundle having constant rank and such that $\Phi^3 + \Phi = 0$): The Levi-Civita connection on M gives rise to a splitting $\mathcal{V} \oplus \mathcal{H}$ of the tangent bundle of any bundle associated to $O(M)$ into vertical and horizontal parts. The vertical space \mathcal{V}_f of \mathcal{F}_k at a point $f \in \mathcal{F}_k$ is the tangent space at f of the fibre through this point and $\Phi_1|_{\mathcal{V}_f}$ is defined to be the complex structure \mathcal{J}_f of the fibre while $\Phi_2|_{\mathcal{V}_f}$ is defined as the conjugate complex structure, i.e. $\Phi_2|_{\mathcal{V}_f} = -\mathcal{J}_f$. The horizontal space \mathcal{H}_f is isomorphic via the differential π_{*f} to the tangent space $T_p M$, $p = \pi(f)$, and both $\Phi_1|_{\mathcal{H}_f}$, $\Phi_2|_{\mathcal{H}_f}$ are defined to be the lift to \mathcal{H}_f of the endomorphism f of $T_p M$.

The metrics of $F_k(\mathbb{R}^n)$ and M yield a 1-parameter family $h_t, t > 0$, of Riemannian metrics on \mathcal{F}_k such that $h_t|_{\mathcal{V}_f}$ is t times the metric h of the fibre through f , $h_t|_{\mathcal{H}_f} = \pi^*g$, and the spaces \mathcal{V}_f and \mathcal{H}_f are orthogonal. The endomorphisms Φ_1 and Φ_2 are skew-symmetric with respect to the metrics h_t and the projection $\pi : (\mathcal{F}_k, h_t) \rightarrow (M, g)$ is a Riemannian submersion with totally geodesic fibres (by the Vilms theorem).

Now let (M, g) be a Riemannian manifold of odd dimension $n = 2k + 1$, $k \geq 1$. Slightly modify the twistor construction in [8, 11], we define the twistor space of (M, g) as the bundle \mathcal{C} over M whose fibre at a point $p \in M$ consist of all almost contact metric structures on the Euclidean space $(T_p M, g_p)$, i.e.

$$\mathcal{C} = O(M) \times_{O(2k+1)} C(\mathbb{R}^{2k+1}).$$

Using the Levi-Civita connection on M , we can define on \mathcal{C} a 1-parameter family $h_t, t > 0$, and two partially complex structures Φ_1, Φ_2 of rank $k^2 + 3k$, skew-symmetric with respect to h_t in the same way as we did it for the space \mathcal{F}_k . Define a vector field χ on \mathcal{C} by setting

$$\chi_\sigma = \xi_\sigma^h, \quad \sigma = (\varphi, \xi),$$

where ξ_σ^h is the horizontal lift of ξ at the point σ . Then (Φ_α, χ, h_t) , $\alpha = 1, 2$, is an almost contact metric structure on \mathcal{C} . The contact distribution of this structure is obviously $\mathcal{V} \oplus \{A \in \mathcal{H} : A \perp \chi\}$.

If the manifold M is oriented, then the twistor space \mathcal{C} is the disjoint union of the open subsets \mathcal{C}_\pm consisting of the points (φ, ξ) that yield \pm the orientation of $T_p M$ via the decomposition $T_p M = \text{Im} \varphi \oplus \mathbb{R} \xi$ in which the vector space $\text{Im} \varphi$ is oriented by means of the complex structure φ on it. The bundles \mathcal{C}_\pm are isomorphic by the map $(\varphi, \xi) \rightarrow (\varphi, -\xi)$ which preserves the horizontal spaces and sends (Φ_α, χ, h_t) to $(\Phi_\alpha, -\chi, h_t)$.

The natural covering map $C(\mathbb{R}^{2k+1}) \rightarrow F_k(\mathbb{R}^{2k+1})$ is $O(2k+1)$ -equivariant, so it determines a bundle map

$$\mathcal{C} \rightarrow \mathcal{F}_k, \quad (\varphi, \xi) \rightarrow \xi,$$

which is a two-fold covering. This map preserves the vertical and horizontal spaces, the metrics and the partially complex structures of \mathcal{C} and \mathcal{F}_k . If M is oriented, each of the spaces \mathcal{C}_+ and \mathcal{C}_- is isomorphic to \mathcal{F}_k .

The curvature of the Riemannian manifold (\mathcal{F}_k, h_t) has been computed in [7] by means of the O'Neill formulas. The computation there immediately gives the curvature of the manifold (\mathcal{C}, h_t) since the map $(\varphi, \xi) \rightarrow \xi$ above is a Riemannian covering. To formulate the corresponding result for the curvature of (\mathcal{C}, h_t) , we shall introduce some notations. Denote by $A(TM)$ the bundle of skew-symmetric endomorphisms of TM and consider \mathcal{C} as a submanifold of the bundle $\pi : A(TM) \oplus TM \rightarrow M$. Then the inclusion of \mathcal{C} is fibre-preserving and the horizontal subspace of $T_\sigma \mathcal{C}$ at a point $\sigma = (\varphi, \xi)$ coincides with the horizontal space of $A(TM) \oplus TM$ at that point. The vertical space of \mathcal{C} at σ , considered as a subspace of the vertical space of $A(TM) \oplus TM$ at σ , consists of all pairs $(Q, \varphi Q(\xi))$ for which $Q \in A(T_p M)$, $p = \pi(\sigma)$, and satisfies the identity $Q\varphi^2 + \varphi^2 Q + \varphi Q\varphi + Q = 0$. Further we shall freely make use of the standard isometric identification $A(TM) \cong \Lambda^2 TM$ that assigns to each $a \in A(T_p M)$ the 2-vector a^\wedge for which $g(aX, Y) = g(a^\wedge, X \wedge Y)$, $X, Y \in T_p M$ (the metric on $\Lambda^2 TM$ is given by $g(X_1 \wedge X_2, X_3 \wedge X_4) = g(X_1, X_3)g(X_2, X_4) - g(X_1, X_4)g(X_2, X_3)$). If $Q \in T_\varphi F_k(T_p M, g_p) \subset A(T_p M)$, the element Q^\wedge of $\Lambda^2 T_p M$ will be also denoted by Q . For brevity, denote by m_φ the image of the tangent space $T_\varphi F_k(T_p M, g_p)$, $p = \pi(\sigma)$, under the identification $A(T_p M) \cong \Lambda^2 T_p M$. Finally, let $\mathcal{R} : \Lambda^2 TM \rightarrow \Lambda^2 TM$ be the curvature operator of (M, g) ; it is defined by $g(\mathcal{R}(X_1 \wedge X_2), X_3 \wedge X_4) = g((\nabla_{[X_1, X_2]} - [\nabla_{X_1}, \nabla_{X_2}])X_3, X_4)$. Now [7, Proposition 2] implies the following

Proposition 1. *Let (M, g) be a Riemannian manifold of odd dimension $n = 2k + 1$, $k \geq 1$. Then the Ricci tensor c_t of its twistor space (\mathcal{C}, h_t) is given as follows: For any $E \in T_\sigma \mathcal{C}$, $\sigma = (\varphi, \xi)$, setting $X = \pi_* E$, $(Q, \varphi Q(\xi)) = \mathcal{V}E$ (the vertical component of E), we have:*

$$\begin{aligned} c_t(E, E) &= c_M(X, X) - 2t \text{Trace}(Z \rightarrow (\nabla_Z R)(\mathcal{J}Q, X)) + \\ &\quad 2t^2 \|\mathcal{R}(\mathcal{J}Q)\|_g^2 - 2t \|\iota_X \circ \mathcal{R}|_{m_\varphi}\|_{h,g}^2 + \frac{1}{2}k \|Q\|_h^2 \end{aligned}$$

where c_M is the Ricci tensor of (M, g) , $\iota_X : \Lambda^2 TM \rightarrow TM$ is the interior product and $\|\cdot\|_{h,g}$ is the norm of the metric on the space of linear maps $m_\varphi \rightarrow T_{\pi(\sigma)} M$ induced by the metrics h on m_φ and g on $T_{\pi(\sigma)} M$.

Corollary 1. *If (M, g) is of constant curvature ν , then the Ricci tensor c_t of (\mathcal{C}, h_t) is given by*

$$\begin{aligned} c_t(E, E) &= 2k\nu(1 - t\nu) \|X\|_g^2 + t\nu^2 \|\varphi X\|_g^2 + \\ &\quad \frac{1}{2}(k + 2t^2\nu^2) \|Q\|_h^2 + t^2\nu^2 h(\varphi \circ Q \circ \varphi, Q) \end{aligned}$$

where $\sigma = (\varphi, \xi) \in \mathcal{C}$, $E \in T_\sigma \mathcal{C}$, $X = \pi_* E$ and $(Q, \varphi Q(\xi)) = \mathcal{V}E$.

4. PROOF OF THE THEOREM

Suppose that (\mathcal{C}, h_t, χ) is eta-Einstein. Then, by Proposition 1, there exist smooth functions a and b on \mathcal{C} such that for every point $\sigma = (\varphi, \xi) \in \mathcal{C}$, every h -orthonormal basis $\{U_\alpha\}$ of $T_\varphi F_k(T_p, g_p)$, $p = \pi(\sigma)$, and every $X \in T_p M$, $Q \in T_\varphi F_k(T_p, g_p)$ the following two equations are satisfied

$$(2) \quad c_M(X, X) - 2t \sum_{\alpha=1}^{k^2+k} \|R(U_\alpha)X\|^2 = a(\sigma)\|X\|^2 + b(\sigma)g(X, \xi)^2$$

$$(3) \quad 2t^2\|\mathcal{R}(Q)\|_g^2 + \frac{1}{2}k\|Q\|_h^2 = a(\sigma)t\|Q\|_h^2$$

Lemma 1. *The functions a and b on \mathcal{C} descend to smooth functions \bar{a} and \bar{b} on M .*

Proof. We have

$$(4) \quad c_t(E', E'') = ah_t(E', E'') + bh_t(E', \chi)h_t(E'', \chi)$$

for every $E', E'' \in T\mathcal{C}$.

Take a point $\sigma = (\varphi, \xi) \in \mathcal{C}$ and set $p = \pi(\sigma)$. Let e_1, \dots, e_{2k+1} be an orthonormal basis of $T_p M$ with $e_{2k+1} = \xi$ and let V_1, \dots, V_{k^2+k} be a h_t -orthonormal basis of the vertical space \mathcal{V}_σ of \mathcal{C} . Denote by $\{A_i\}$ the h_t -orthonormal basis $\{e_1^h, \dots, e_{2k+1}^h, V_1, \dots, V_{k^2+k}\}$ of $T_\sigma \mathcal{C}$. Denote by D the Levi-Civita connection of the metric h_t on \mathcal{C} . Then a standard application of the differential Bianchi identity gives:

$$\sum_i A_m(c_t(A_i, A_i)) = \sum_{i,j} h_t((D_{A_m} R_t)(A_i, A_j, A_i), A_j) = 2 \sum_i A_i(c_t(A_i, A_m))$$

where R_t is the curvature tensor of the metric h_t on \mathcal{C} . For every $l = 1, \dots, 2k+1$ and $\beta = 1, \dots, k^2+k$, we have $c_t(e_l^h, V_\beta) = 0$ by (4). Therefore

$$(k^2+3k+1)V_\beta(a) + V_\beta(b) = \sum_i V_\beta(c_t(A_i, A_i)) = 2 \sum_{\gamma=1}^{k^2+k} V_\gamma(c_t(V_\gamma, V_\beta)) = 2V_\beta(a).$$

It follows that the function $(k^2 + 3k - 1)a + b$ is constant on the fibers of \mathcal{C} . Denote by s the scalar curvature of (M, g) . Then (2) and (3) imply that

$$s(p) + t^{-1}(k^3 + k^2) = (2k^2 + 4k + 1)a(\sigma) + b(\sigma).$$

Thus, the function $(2k^2 + 4k + 1)a + b$ is also constant on the fibers of \mathcal{C} . This proves the lemma. \square

Let $p \in M$ and let e_1, e_2, \dots, e_n be an orthonormal basis of $T_p M$, $n = 2k+1$. Set $e_{ij} = e_i \wedge e_j$. Assume that $n = 2k+1 \geq 5$.

Lemma 2. *For any $X \in T_p M$ we have*

$$(5) \quad c_M(X, X) - t \sum_{p=1}^k \sum_{j=2p+1}^n (||R(e_{2p-1,j})X||^2 + ||R(e_{2p,j})X||^2) = \bar{a}(p)||X||^2 + \bar{b}(p)g(X, e_n)^2.$$

Proof. Consider the point $\sigma = (\varphi, \xi) \in \mathcal{C}$ defined by $\varphi = e_{12} + \dots + e_{2k-1, 2k}$ and $\xi = e_{2k+1}$. Set

$$A'_{pq} = \frac{1}{\sqrt{2}}(e_{2p-1, 2q-1} - e_{2p, 2q}), \quad A''_{pq} = \frac{1}{\sqrt{2}}(e_{2p-1, 2q} + e_{2p, 2q-1})$$

$$B'_r = \frac{1}{\sqrt{2}}e_{2r-1, 2k+1}, \quad B''_r = \frac{1}{\sqrt{2}}e_{2r, 2k+1}$$

where $p = 1, \dots, k-1$, $q = p+1, \dots, n$, $r = 1, \dots, k$. Then $\{U_\alpha\} = \{A'_{pq}, A''_{pq}, B'_{rs}, B''_{rs}\}$ is a h -orthonormal basis of $T_\varphi F_k(T_p M, g_p)$ (such that $\mathcal{J}A'_{pq} = A''_{pq}$, $\mathcal{J}B'_{rs} = B''_{rs}$). Writing (2) for this basis we get an identity which involves the basis $e = (e_1, \dots, e_n)$; in view of Lemma 1, its right-hand side depends on the choice of the vector e_n and does not depend on the particular choice of φ . We denote by $(2)_e$ the identity we obtain in this way. Set $e' = (-e_1, e_2, \dots, e_n)$. Then the identity $(2)_e - (2)_{e'}$ reads as

$$(6) \quad g(R(e_{13})X, R(e_{24})X) - g(R(e_{14})X, R(e_{23})X) + \dots + g(R(e_{1, 2k-1})X, R(e_{2, 2k})X) - g(R(e_{1, 2k})X, R(e_{2, 2k-1})X) = 0.$$

Now we apply (6) for the bases $e = (e_1, \dots, e_4, \dots, e_n)$ and $e'' = (e_1, \dots, -e_4, \dots, e_n)$. Then the identity $(6)_e - (6)_{e''}$ gives

$$(7) \quad g(R(e_{13})X, R(e_{24})X) - g(R(e_{14})X, R(e_{23})X) = 0.$$

It follows that $g(R(e_{2p-1, 2q-1})X, R(e_{2p, 2q})X) = g(R(e_{2p-1, 2q})X, R(e_{2p, 2q-1})X)$ for $p = 1, 2, \dots, k-1$, $q = p+1, p+2, \dots, n$. The latter identity, $(2)_e$ and Lemma 1 imply Lemma 2. \square

The proofs of the next two lemmas go in the same lines as the proofs of Lemmas 6 and 7 in [7] (in view of Lemmas 1 and 2 above) and will be omitted.

Lemma 3. *If $i, j, l, m \in \{1, \dots, n\}$ are four different indexes, then*

$$g(R(e_{ij})X, R(e_{lm})X) = 0$$

for any $X \in T_p M$.

Lemma 4. *For any $i \neq j$, $l \neq m$ and $X \in T_p M$, we have*

$$||R(e_{ij})X|| = ||R(e_{lm})X||.$$

Proof of the theorem in the case $n = 2k + 1 \geq 5$.

Assume that (\mathcal{C}, h_t, χ) is eta-Einstein. Let $p \in M$ and let e_1, \dots, e_n , $n = 2k + 1$, be an orthonormal basis of $T_p M$. Set $\varphi = e_{12} + \dots + e_{2k-1, 2k}$ and $\xi = e_{2k+1}$. Set also

$$Q_1 = e_{13} - e_{24}, \quad Q_2 = e_{1, 2k+1}.$$

Then $Q_1, Q_2 \in T_\varphi F_k(T_p M, g_p)$ and according to (3) and Lemma 1

$$\|\mathcal{R}(Q_1)\|^2 = \|\mathcal{R}(Q_2)\|^2 = t^{-2}[\bar{a}(p)t - \frac{1}{2}k].$$

On the other hand, by Lemmas 3 and 4

$$\|R(Q_1)X\|^2 = 2\|R(e_{13})X\|^2 = 2\|R(e_{1, 2k+1})X\|^2 = 2\|R(Q_2)X\|^2$$

for any $X \in T_p M$. Therefore $\|\mathcal{R}(Q_1)\|^2 = 2\|\mathcal{R}(Q_2)\|^2$ and we see that $\mathcal{R}(Q_1) = \mathcal{R}(Q_2) = 0$. Then

$$\|\mathcal{R}(e_{13})\| = 2^{-1/2}\|\mathcal{R}(Q_1)\| = 0 \quad \text{and} \quad \bar{a}(p)t - \frac{1}{2}k = 0.$$

The first of these identities implies $R = 0$. Now taking $X = e_1$ in (2) we see that $\bar{a}(p) = 0$ which contradicts to the second identity above.

Proof of the theorem in the case $n = 3$.

Let c_M be the Ricci tensor of (M, g) and $\rho : T_p M \rightarrow T_p M$ the symmetric operator corresponding to c_M . Denote by s the scalar curvature of (M, g) . It is well-known that the curvature operator of a 3-dimensional Riemannian manifold is given by

$$(8) \quad \mathcal{R}(X \wedge Y) = -\frac{s}{2}X \wedge Y + \rho(X) \wedge Y + X \wedge \rho(Y)$$

for $X, Y \in TM$ (see e.g. [2, Sec. 1 G]). Let $p \in M$ and put

$$\lambda = \frac{1}{2t^2}[\bar{a}(p)t - \frac{1}{2}k].$$

Let e_1, e_2, e_3 be an arbitrary orthonormal basis of $T_p M$. Consider the point $\sigma = (\varphi, \xi) \in \mathcal{C}$ with $\varphi = e_{12}$, $\xi = e_3$. Then $e_{13}, e_{23} \in T_\varphi F_1(T_p M, g_p)$ and by (3) we have

$$\|\mathcal{R}(e_{13})\|^2 = \|\mathcal{R}(e_{23})\|^2 = 2\lambda \quad \text{and} \quad g(\mathcal{R}(e_{13}), \mathcal{R}(e_{23})) = 0.$$

It follows that either $\lambda = 0$ or the operator $T = (1/\sqrt{2\lambda})\mathcal{R}$ is orthogonal. If $\lambda = 0$, then $\mathcal{R} = 0$ and identity (2) implies $\bar{a}(p) = 0$. This together with $\lambda = 0$ gives $k = 0$, a contradiction. Thus, the operator T is orthogonal. Since this operator is also symmetric, its square is equal to Id . Therefore the eigenvalues of T are $+1$ or -1 . Suppose that both $+1$ and -1 are eigenvalues of the operator T and denote by α and β the dimensions of the corresponding eigenspaces. Then

$$(9) \quad \frac{s}{2} = \text{Trace } \mathcal{R} = (\alpha - \beta)\sqrt{2\lambda}.$$

Further, by (2) and (3), we have that

$$s - 8t\lambda = 3\bar{a} + \bar{b},$$

therefore

$$(10) \quad \lambda = \frac{2ts - 3 - 2\bar{b}t}{28t^2}.$$

Set $c_{ij} = c_M(e_i, e_j)$. Now take the point $\sigma = (e_{13}, e_2) \in \mathcal{C}$ and apply (2) with $U_1 = \frac{1}{\sqrt{2}}e_{12}$, $U_2 = \frac{1}{\sqrt{2}}e_{23}$, $X = e_1$. This gives

$$(11) \quad c_{11} - t(\|R(e_{12})e_1\|^2 + \|R(e_{23})e_1\|^2) = \bar{a}(p).$$

Similarly, considering the point $(e_{23}, e_1) \in \mathcal{C}$ and applying (2), we get

$$c_{11} - t(\|R(e_{12})e_1\|^2 + \|R(e_{13})e_1\|^2) = \bar{a}(p) + \bar{b}(p).$$

Hence, $\bar{b}(p) = t(\|R(e_{23})e_1\|^2 - \|R(e_{13})e_1\|^2)$, which, in view of (8), implies

$$\bar{b}(p) = [c_{12}^2 - (\frac{s}{2} - c_{22})^2].$$

Similarly, we have also

$$\bar{b}(p) = [c_{23}^2 - (\frac{s}{2} - c_{33})^2] \quad \text{and} \quad \bar{b}(p) = [c_{13}^2 - (\frac{s}{2} - c_{11})^2].$$

Adding the last three equalities and setting $\mu = c_{12}^2 + c_{23}^2 + c_{13}^2$, we obtain

$$(12) \quad 3\bar{b}(p) = t[\mu - (\frac{s}{2} - c_{11})^2 - (\frac{s}{2} - c_{22})^2 - (\frac{s}{2} - c_{33})^2].$$

Next, an obvious application of (2) for the point $(e_{12}, e_3) \in \mathcal{C}$, gives

$$c_{11} - t(\|R(e_{13})e_1\|^2 + \|R(e_{23})e_1\|^2) = \bar{a}(p).$$

From the latter identity and (11) we see that

$$\|R(e_{12})e_1\| = \|R(e_{13})e_1\|.$$

This and (8) imply

$$(-\frac{s}{2} + c_{11} + c_{22})^2 = (-\frac{s}{2} + c_{11} + c_{33})^2$$

which gives $c_{11}(c_{22} - c_{33}) = 0$. Similarly, $c_{22}(c_{33} - c_{11}) = 0$ and $c_{33}(c_{11} - c_{22}) = 0$. It follows that either $c_{11} = c_{22} = c_{33}$ or two of the numbers c_{11} , c_{22} , c_{33} are equal to 0 and the third one is different from zero.

1). Suppose $c_{11} = c_{22} = c_{33}$. Then, by (12),

$$3\bar{b}(p) = t\mu - \frac{ts^2}{12}.$$

Now it follows from (9) and (10) that ts satisfies the equation

$$(13) \quad (7 - \frac{m}{9})x^2 - 4mx + 6m + \frac{4mt^2\mu}{3} = 0$$

where $m = (\alpha - \beta)^2$. This fact implies

$$4m^2 \geq (7 - \frac{m}{9})(6m + \frac{4mt^2\mu}{3}) \geq (7 - \frac{m}{9})6m.$$

Thus we see that $m \geq 9$. On the other hand $|\alpha - \beta| < \dim \Lambda^2 T_p M = 3$, so $m < 9$, a contradiction.

2). Assume that $c_{11} = c_{22} = 0$. In this case, according to (12), we have

$$3\bar{b}(p) = t\mu - \frac{3ts^2}{4}$$

and, in view of (9) and (10), ts satisfies the equation

$$6x^2 - 4mx + 6m + \frac{4mt^2\mu}{3} = 0.$$

This implies $m \geq 9$ and we come again to a contradiction.

It follows that either $T = Id$ or $T = -Id$ on the whole space $\Lambda^2 T_p M$. Therefore the sectional curvature of M at each point p is constant and the classical Schur theorem implies that M is of constant curvature, say ν . Moreover ts satisfies equation (13) with $m = 9$ and $\mu = 0$. Thus $ts = 3$, i.e. $t\nu = \frac{1}{2}$.

Conversely, suppose that M is a 3-dimensional Riemannian manifold of positive constant curvature ν and take $t = \frac{1}{2\nu}$. Let $\sigma = (\varphi, \xi) \in \mathcal{C}$, $E \in T_\sigma \mathcal{C}$, $X = \pi_* E$ and $\mathcal{V}E = (Q, \varphi Q(\xi))$. Since $\dim M = 3$, we have $\varphi \circ Q \circ \varphi = 0$ and Corollary 1 gives

$$c_t(E, E) = (2\nu - t\nu^2)\|X\|^2 + \frac{1}{2t}(1 + 2t^2\nu^2)\|Q\|_{h_t}^2 - t\nu^2 g(X, \xi)^2 =$$

$$\frac{3\nu}{2}\|E\|_{h_t}^2 - \frac{\nu}{2}g(X, \xi)^2.$$

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